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Personal Information: Born June 13, 1967, in Houston, Texas.

Professional

UNIVERSITY OF CALIFORNIA AT BERKELEY

- 2003-Present Associate Professor (with tenure) of Business and Public Policy, Haas School of Business, and Political Science, Department of Political Science.
- 1997-2003 Assistant Professor of Business and Public Policy, Haas School of Business, and Political Science, Department of Political Science.
- 1997-Present Research Fellow, Institute for Governmental Studies.

Education

1993-1997 STANFORD UNIVERSITY

Ph.D. in *Political Science*, September 1997. Fields: American politics, political organizations, formal theory and quantitative methods.
Dissertation committee: Barry R. Weingast (chair), John A. Ferejohn, Terry M. Moe, and R. Douglas Rivers.

Dissertation Title: "Structural Politics: Dynamic Political Strategies and the Durability of Public Policy"

M. A. in *Economics*, June 1997. Courses included: microeconomic theory, macroeconomic theory, econometrics.

M. A. in *Political Science*, June 1996. Fields: American politics, political organizations and quantitative methods.

Summer 1994 **INTER-UNIVERSITY CONSORTIUM FOR POLITICAL AND SOCIAL RESEARCH**

Program participant at "Summer Program in Quantitative Methods."
Courses included: multivariate statistical methods, game theory, and statistical computing.

1985-1989 **HARVARD UNIVERSITY**

A.B., *summa cum laude*, in History with an emphasis in American history. Advisor: Donald Fleming.

Awards, Honors, Fellowships and Grants

University of California: Faculty Research Grant (non-competitive) (annual since 1997).
Research Assistantship in the Humanities (1997).
Junior Faculty Research Grant (1998).
Schwarbacher Award (1998).
Earl F. Cheit Award for Ph. D. Teacher of the Year (1999).
Junior Faculty Mentorship Grant (2000).
Faculty Research Grant (competitive) (2000).
Junior Faculty Summer Grant (2002)
Kauffman Research Grant (2008).
Barbara and Gerson Bakar Faculty Fellow (2007-Present)
Garwood Research Grant (2012)
Strategic Research Foundation Grant (2011-2012)

Stanford University: Jacob Javits Fellow, 1994-1997.
Stanford University Graduate Fellowship, 1993-1994.

Harvard University: Phi Beta Kappa as a junior (top twelve male students), 1988.
John Harvard Scholar, 1985-1989.
William Scott Ferguson prize for top history paper, 1987.
Harvard Class of 1919 Memorial Fund Scholar, 1987.
Detur Prize, 1986.
National Merit Scholar, 1985.

Non-academic and Visiting Scholar Experience

- June 1998 **FEDERAL RESERVE BANK OF MINNEAPOLIS** Minneapolis, MN
Visiting Scholar.
- 1989-1993 **THE BOSTON CONSULTING GROUP** Melbourne, Australia
Qualified Case Leader. Led large teams in analyzing organizational issues for large companies and government bodies in Australia, New Zealand, Asia, United States and Europe. Areas of specialization included strategy, inventory management, public policy, privatization and organizational design.

Research (see www.haas.berkeley.edu/~rui/papers.htm to download)

Books

The Outsider: Prejudice and Politics in Italy. Princeton University Press, 2000. With Paul M. Sniderman, Pierangelo Peri, and Thomas Piazza.

Published Articles

- “Learning on the Job? Employee Mobility in the Asset Management Industry.” *Management Science*. (forthcoming). With Aaron Chatterji and Evan Rawley.
- “The Market for Legislative Influence over Regulatory Policy.” *Advances in Strategic Management* (forthcoming). With Geoff Edwards.
- “Why are Firms Rigid? A General Framework and Empirical Tests.” *Organization Science* 26: 5 (2015): 1502-1519. With Evan Rawley and Chris Rider.
- “Learning on the Job? Entrepreneurial Spawning in the Asset Management Industry” 74th *Academy of Management Best Paper Proceedings*, (2014). With Aaron Chatterji and Evan Rawley.
- “Inherited Agglomeration Effects in Hedge Fund Spawns.” *Strategic Management Journal* (July 2013), pp. 834-862. With Philipp Meyer-Doyle and Evan Rawley.
. Finalist, *European Group for Organizational Studies Best Paper Award* (2010)
. Finalist, *Strategic Management Society Best Conference Paper for Practice Implications Award* (2011)
- “Inherited Causes of New Firm Performance: Parent Firm Location and the Performance of Spawns.” 71st *Academy of Management Best Paper Proceedings* (2011). With Philipp Meyer-Doyle and Evan Rawley.
- “Skill, Luck and the Multiproduct Firm: Evidence from Hedge Funds.” *Management Science* (2011), pp. 1963-1978. With Evan Rawley.

- "Institutions, Politics, and Non-Market Strategy." *California Management Review* (Winter 2010).
- "Does Private Money Buy Public Policy? Campaign Contributions and Regulatory Outcomes in Telecommunications." *Journal of Economics and Management Strategy*, (2007). With Geoff Edwards. Lead Article.
- "Hedge Funds and the Active Management Industry," *Journal of Alternative Investments* (Fall 2007). With Neil Brown, Vineet Budhraj, and Ryan Meredith.
- "Constructing Self-Enforcing Federalism in the Early United States and Modern Russia." *Publius* 37:2 (Spring 2007), pp. 160-189. With Michael McFaul and Barry R. Weingast.
- "Rationality, Inaccurate Mental Models, and Self-Confirming Equilibrium." *Journal of Theoretical Politics* 18:4 (October 2006), pp. 384-415. With Jack Rakove and Barry R. Weingast.
- "The New Separation of Powers Approach to American Politics." *The Oxford Handbook of Political Economy*, Oxford University Press, 2006. With Tonja Jacobi and Barry R. Weingast.
- "How Risky Are Illiquid Investments? A Practical Approach to Estimating Volatilities and Correlations for Non-Traded Assets," *Journal of Portfolio Management* (Winter 2005), pp. 83-93. With Vineet Budhraj.
- "Self-Enforcing Federalism." *Journal of Law, Economics and Organization* 21 (April 2005), pp. 103-135. With Barry R. Weingast.
- "The Political Economy of State-Level Administrative Procedure Acts." *Journal of Law and Economics* 47 (October 2004), pp. 569-588. With Richard G. Vanden Bergh.
- "Budget Institutions and Political Insulation: Why States Adopt the Item Veto." *Journal of Public Economics* 87 (December 2003), pp. 2677-2701.
- "Political Uncertainty and Administrative Procedures." In Barry Burden, ed., *Uncertainty in American Politics*, Cambridge University Press, 2003. With Richard G. Vanden Bergh.
- "Are Patriots Bigots? An Inquiry Into the Vices of In-Group Pride." *American Journal of Political Science*, 47:1 (January 2003), pp. 171-181. With Zachary Elkins.
- "The Allocation of Resources by Interest Groups: Lobbying, Litigation, and Administrative Regulation." *Business and Politics* 4:2 (August 2002), pp. 161-181. With John M. de Figueiredo.

- "Electoral Competition, Political Uncertainty, and Policy Insulation." *American Political Science Review*, 96:2 (June 2002), pp. 321-333.
- "Managerial Decisionmaking in Non-Market Strategy: A Survey Experiment." *Advances in Strategic Management* (2002). With John M. de Figueiredo.
- "Rational Choice Theories of Bureaucratic Control and Performance." In William F. Shughart II and Laura Razzolini, eds., *The Elgar Companion on Public Choice*, Edward Elgar Press, 2001. With Kelly H. Chang and Barry R. Weingast.
- "Strategy, Structure and Regulation: Telecommunications in the New Economy." *Michigan State-Detroit College Law Review* 1 (2000), pp. 253-285. With Pablo T. Spiller.
- "An Informational Perspective on Administrative Procedures." *Journal of Law, Economics and Organization*. 15:1 (April 1999), pp. 283-305. With Pablo T. Spiller and Santiago Urbiztondo.
- "The Rationality of Fear: Political Opportunism and Ethnic Conflict." In Barbara Walter and Jack Snyder, eds., *Civil Wars, Insecurity and Intervention*, Columbia University Press, 1999. With Barry R. Weingast.
- "The Politics of Interpretation: Rationality, Culture and Tradition." *Politics and Society*. 26: 4 (December 1998), pp. 603-642. With Robert H. Bates and Barry R. Weingast.

Other Publications

- "Russian Federalism: A Contradiction in Terms." *Hoover Digest: Research and Opinion on Public Policy* (Fall 2001), pp. 116-123. With Barry R. Weingast.

Working Papers and Works in Progress

- "Bad Bets: Excessive Risk-Taking, Convex Incentives and Performance." With Evan Rawley and Ori Shelef.
- "Does Market Timing Add Value? Evidence from Hedge Funds" With Vineet Budhraj, Janghoon Kim, Ryan Meredith and Damon Ting-Ming Wu.
- "Do Administrative Procedures Matter? The Effect of Administrative Procedure Acts on State Government Expenditures." With Richard G. Vanden Bergh.
- "Pathologies of Federalism, Russian Style: Political Institutions and Economic Transition." With Barry R. Weingast.
- "Vicious Cycles: Endogenous Political Extremism and Political Violence." With Barry R. Weingast.

“A Theory of the Political Firm: A Multi-task Model of Bureaucratic Jurisdictions.” With Jason Snyder.

Conference Participation and Invited Presentations

- 2015: Center for Advanced Study Conference on Regulation and Organizations (Stanford)
Haas BPP-UCLA GEM Workshop (San Luis Obispo)
UC Berkeley Conference on Endogenous Institutions and Political Conflict
- 2014: NBER Entrepreneurship Working Group (Cambridge)
NYU Conference on Federalism (Washington)
Conference on Empirical Legal Studies (Berkeley)
ISNIE Conference (Duke)
UC Berkeley Conference on Endogenous Institutions and Political Conflict
- 2013: UC Berkeley Conference on Endogenous Institutions and Political Conflict
- 2012: Law, Economics and Organizations Conference (Berkeley)
Conference on Business and Its Environment (Stanford)
UC Berkeley Conference on Endogenous Institutions and Political Conflict
Conference on Empirical Legal Studies (Stanford)
Conference on Law, Economics and Organization (Berkeley)
- 2011: Strategic Management Society Meeting (San Diego)
UC Berkeley Conference on Endogenous Institutions and Political Conflict
UC Berkeley Haas School Shansby Marketing Seminar
UCLA Anderson School, Strategy Seminar
- 2010: UC Berkeley Conference on Endogenous Institutions and Political Conflict
Northwestern Law School Conference on the Judicial Power of the Purse
American Political Science Association Meetings
University of Texas School of Law Conference on Rule of Law
EITM Conference
- 2009: UC Berkeley Conference on Endogenous Institutions and Political Conflict
Northwestern Law School Positive Political Theory Workshop
ISNIE Conference
Conference on Business and Its Environment (UCLA)
CRES Conference on the Foundations of Strategy (Wash U)
American Political Science Association Meetings
- 2008: UC Berkeley Conference on Endogenous Institutions and Political Conflict
Haas School of Business, Oliver Williamson Seminar on Institutional Analysis
Boalt School of Law Conference on Law, Philosophy and Economics

- 2007: Wharton University, Management Brown Bag
UC Berkeley Conference on Endogenous Institutions and Political Conflict
Mercatus Center Conference
- 2006: UC Berkeley, Business and Politics Seminar
Stanford University, Political Methodology Seminar
UC Berkeley/UCSD Conference on the Comparative Analysis of Legislative Behavior
UC Berkeley Conference on Endogenous Institutions and Political Conflict
Conference on Business and Its Environment (Stanford)
- 2005: Research Group on Political Institutions and Economic Policy (Princeton),
Law and Positive Political Theory Conference (Northwestern)
UCSD/USD Law Conference on Administrative Law and Process
- 2004: Hoover Institution Seminar on Collective Choice (Stanford)
- 2003: University of Vermont, Harris Lectures
University of California-Davis, Political Science Seminar
University of California-San Diego, Political Science Seminar
- 2002: Haas School of Business, Institutional Analysis Workshop
NYU, Political Economy Workshop
Strategy and the Business Environment Conference (Stanford)
- 2001: USD Law School and UCSD Department of Political Science Joint Seminar
Conference on Prejudice and Politics (Trento, Italy)
ISNIE Annual Meeting
Harvard CBRSS Conference
Civil Wars and Post-Conflict Transitions Conference (Irvine)
Comparative Corporate Strategies in Asia (Berkeley)
IGS Colloquium on Decentering Governance (Berkeley)
OECD Conference on Russian Federalism (Moscow, Russia)
- 2000: Haas School of Business, Institutional Analysis Workshop
UCSD Western Young Scholars Seminar
Nortel Networks' Designing for the Human Experience (Boston)
Boalt School of Law Law and Economics Seminar
Management, Strategy and the Business Environment (Wharton)
American Political Science Association Annual Meetings
Berkeley-Stanford Conference on American Politics
Midwest Political Science Association Annual Meetings
- 1999: Fiscal Policy and Fiscal Federalism in the EMU Conference (Los Angeles)
Harvard Law School Olin Conference on Law, Economics and the Courts
American Political Science Association Annual Meetings
Hoover Institution Seminar on Collective Choice (Stanford)

- Public Choice Conference
Berkeley Department of Political Science Seminar
- 1998: Princeton University Department of Political Science Seminar
Yale University Department of Political Science Seminar
Political Economy of European Integration Conference (Cambridge)
Positive Political Theory and Business Strategy Conference (Marshall, CA)
American Political Science Association Annual Meetings
Federal Reserve Bank of Minneapolis (2 sessions)
Law, Economics and Organization Conference (Marshall, CA)
Public Choice Conference
American Economic Association Meetings
The Future of Political Economy: Rational Choice And Beyond
(Lake Arrowhead, CA)
- 1997: Haas School Institutional Analysis Workshop
Wallis Institute for Political Economy Conference
American Political Science Association Annual Meetings
Federal Reserve Bank of Minneapolis Conference on Federalism
Midwest Political Science Association Annual Meetings
Public Choice Conference
Columbia Conference on Ethnic Violence
- 1996: Stanford GSB Politics and Organizations Seminar
University of California at Davis Economy, Justice & Society Seminar
American Political Science Association Annual Meetings
Western Economics Association Annual Meetings
Stanford Political Economy Study Group
- 1995: Political Methodology Conference, Poster
Conference on the Study of Prejudice in Italy (Trento, Italy)
Stanford Center for Organizations Research Annual Conference
- 1994: Stanford Center for Organizations Research Annual Conference

University Service

- . Weinstock Lecture Committee (2009-)
- . Co-organizer, Positive Political Theory and New Institutionalism Seminar (1997-2003)
- . Member, Computer Committee, Haas School (1997-1998)
- . Member, MBA Committee, Haas School (1998-2000, 2001-2002)
- . Member, Methods Search Committee, Political Science Department (1998-1999)
- . Member, Ad Hoc Search Committee, Haas School of Business and Goldman School of Public Policy (2000-2001)
- . Member, IGS Advisory Board, (1999-2003, 2005-2007)
- . Mentor, Haas School of Business, Business Plan Competition (2000, 2001)
- . Mentor, Robert Wood Johnson Fellowship Program (1999-2003)

- . Coordinator, BPP MBA Core (2000-2002)
- . Member, Business and Public Policy Group Search Committee (2005-2006)
- . Chair, Business and Public Policy Group, Haas School (2006-)
- . Faculty Equity Advisor, Haas School (2011-)
- . Member, Haas School of Business Executive Committee (2006-)
- . Member, Haas School of Business Hiring Committee (2006-2009)
- . Member, Haas School Strategic Plan Implementation Task Force (2006-7)
- . Member, American Politics Search Committee, Political Science Department (2006-2007)

Professional Service

- . Editorial Board, *California Management Review*
- . ISNIE Dissertation Award Committee (2010)
- . Program Committee, ISNIE Conference (2009)
- . Section Organizer, American Law and Economics Association Meeting (2006)
- . Editorial Board, *Business and Politics* (2005-)
- . Member, Sage Prize Committee, American Political Science Association (1999)
- . Member, Best Dissertation Prize in Political Economy Committee, American Political Science Association (2000)
- . Occasional reviewer for *American Political Science Review*; *American Journal of Political Science*; *British Journal of Political Science*; *Business and Politics*; *California Management Review*; *Economics and Politics*; *Journal of Development Economics*; *Journal of Economics, Management and Strategy*; *International Organization*; *Journal of Law and Economics*; *Journal of Law, Economics and Organization*; *International Review of Law and Economics*; *Journal of Political Economy*; *Journal of Politics*; *Journal of Public Economics*; *Journal of Theoretical Politics*; *Publius*; *Studies in Comparative International Development*; *Supreme Court Economic Review*; *Journal of Political Economy*; *American Economic Journal*.